

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/664,522	
	<b>Filing Date</b>	Sept. 17, 2003	
	<b>First Named Inventor</b>	Noah Montena	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	205_029/1

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b) (1 pg.); Copy of Recordation Form Cover Sheet Patents Only (1 pg.) and Assignment (1 pg.) previously filed on Jan. 17, 2003 and return mailroom postcard.
<b>Remarks</b>		<b>The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.</b>

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm and Individual name</b>	Wall Marjama & Bilinski LLP James R. Muldoon	<b>Reg. No.</b> 38,249
<b>Signature</b>		
<b>Date</b>	April 23, 2004	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:		
April 23, 2004		
<b>Typed or printed name</b>	Jill E. Brunet	
<b>Signature</b>		<b>Date</b> April 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/664,522
Filing Date	9/17/03
First Named Inventor	Montena, Noah
Art Unit	
Examiner Name	
Attorney Docket Number	205_029/1

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

20874

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

20874

OR

☐ Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name

Stephen Malak

Signature

Date

4-14-04

Telephone

(315) 431-7200

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: John Mezzalingua Associates, Inc.

Application No./Patent No.: 10/664,522 Filed/Issue Date: 09/17/2003

Entitled: Apparatus For High Surge Voltage Protection

John Mezzalingua Associates, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

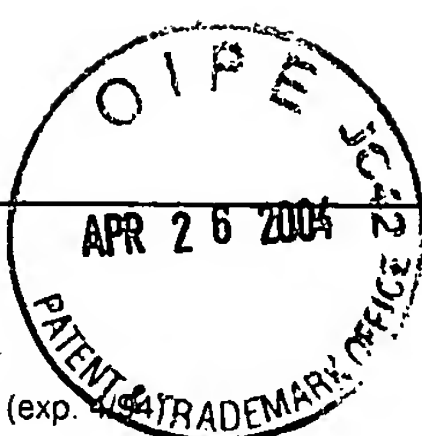
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-14-04  
(315) 437-200  
Telephone number

Stephen Malak  
Typed or printed name  
[Signature]  
Signature  
General Counsel & Vice President  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

Shawn M. Chawgo

additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: John Mezzalingua Associates, Inc.

Internal Address: \_\_\_\_\_

Street Address: 6176 East Molloy Road

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 16, 2003City: East Syracuse State: NY Zip 13057-0278Additional Name(s) & address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 16, 2003.

A. Patent Application No.(s)

B. Patent No. (s)

Additional number attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher R. PastelInternal Address: Hancock & Estabrook, LLPStreet Address: 1500 MONY Tower I  
PO Box 4976City: Syracuse State: NY Zip: 13221-4976Additional Name(s) & address(es) attached ☐ Yes ☒ No

6. Total Number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 50-0576

☒ Charge any deficiencies or credit any overpayment*Accepted 9/17/03*

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of person Signing

Signature

Date

Total number of pages including cover sheet, attachments and documents

2

Mail documents to be recorded with required cover sheet information to:  
Assistant Commissioner for Patents  
Washington DC 20231

## ASSIGNMENT

WHEREAS, I, Shawn M. Chawgo, a citizen of the United States, residing at 212 Old Liverpool Road, Liverpool, New York, Assignor, have made certain new and useful improvements in APPARATUS FOR HIGH SURGE VOLTAGE PROTECTION for which application for Letters Patent of the United States is being made of even date herewith, and

WHEREAS, John Mezzalingua Associates, Inc. a corporation of the State of Delaware, Assignee, and having a post office address at 6176 East Molloy Road, East Syracuse, New York, 13057-0278 is desirous of acquiring the entire right and interest in the same;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby duly and fully acknowledged, I, Shawn M. Chawgo, have sold and BY THESE PRESENTS do sell, assign, transfer and set over unto the said John Mezzalingua Associates, Inc. the entire right, title and interest in and to the aforesaid patent, and divisions thereof, including all foreign rights thereunder.

Date 9/16/03

Shawn M. Chawgo  
Shawn M. Chawgo

STATE OF NEW YORK )  
 )  
 ) ss:  
COUNTY OF ONONDAGA )

On this 16<sup>th</sup> day of September in the year 2003, before me, the undersigned, a Notary Public in and for said State, personally appeared Shawn M. Chawgo, known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Witness my hand and official seal.

My commission expires:

**CHRISTINE L. BRADY**  
**Notary Public, State of New York**  
**Registration #018R8044921**  
**Qualified in Onondaga County**  
**My Commission Expires July 17, 2020**

Notary Public

Christine L Brady  
Notary Public